Form PTO-1449 (Modified)	Atty Docket No. 42390p11376	Application No.	1.S. PTO
List of Patents & Publications Statement	Applicant(s): Christopher Chappell,	et al.	U.S.
(Use several sheets if necessary)	Filing Date:	Group No.:	1046 109/9

U.S. PATENT DOCUMENTS

Exam. Initials		Document Number	Date	Name	Class	Sub- class	Filing Date (if appropriate)
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FOREIGN PATENT DOCUMENTS

Exam. Initials		Document Number	Date	Country	Class	Sub- class	Trans Yes	slation No
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OTHER ART (Including Title, Author, Date, Pertinent Pages, etc.)

Exam. Initials		Document Identification
ANIM	AR	Data-Over Cable Service Interface Specifications; Radio Frequency Interface Specification.
	AS	
	AT	

Examiner: Malan Gogilla Date Considered: 4/29/04

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet of

Complete if Known				
Application Number	09/903,334			
Filing Date	July 10, 2001			
First Named Inventor	Christopher L. Chappell			
Art Unit	2662			
Examiner Name	Hassa Kizou			
Attorney Docket Number	42390P11376			

	U.S. PATENT DOCUMENTS								
Examiner Initials*	Cite No.1	Document Number Number - Kind Code ² (if known)	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear				
<u> </u>	L è	US-6,028,860	02-22-2000	Laubach et al.					
TAJ.	η	US-5,963,557	10-05-1999	Eng					
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Initials*	No.¹	Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	1 _s				
M		EP 0774848 A2	11-14-1996	Xerox Corporation						
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Examiner Signature	pla Jes	Date Considered	4/27/04	

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¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WiPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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Complete if Known Substitute for form 1449A/PTO 09/903,334 Application Number INFORMATION DISCLOSURE July 10, 2001 Filing Date Christopher L. Chappell STATEMENT BY APPLICANT First Named Inventor Art Unit 2662 (use as many sheets as necessary) **Examiner Name** Hassa Kizou Sheet of **Attorney Docket Number** 42390P11376

Initials' No.' magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. IEEE 802.16 'Broadband Wireless Access Working Group', XP-00221179, A. Aruncahalam, et al., 5 pages 2000 IEEE, "Dynamic bandwidth allocation for the HFC DOCSIS MAC protocol", M. Droubi, et al., pgs 54-60 2001 IEEE, "DOCSIS Cable Modern Technology", D. Fellows, et al., pgs 202-209 RECEINAPPR 2 5			OTHER ART - NON PATENT LITERATURE DOCUMENTS	
Aruncahalam, et al., 5 pages 2000 IEEE, "Dynamic bandwidth allocation for the HFC DOCSIS MAC protocol", M. Droubi, et al., pgs 54-60 2001 IEEE, "DOCSIS Cable Modern Technology", D. Fellows, et al., pgs 202-209 RECEN APR 2 5 Technology Cer			Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
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